

- EMCORE AG (hereinafter referred to as the "company") falls under the term "asset manager" according to Art. 367a No. 3 of the Liechtenstein Persons and Companies Law (PGR) and must therefore describe its participation policy in accordance with Art. 367h PGR.
- The company does not exercise any shareholder rights within the meaning of Art. 367h Para. 1 No. 1 and 4 PGR that are based on participation in the companies in which the company has invested as part of asset management mandates. In particular, no rights relating to the general meetings of stock corporations are exercised. The right to a share of profits and subscription rights are exercised in consultation with the customers.
- The monitoring of important matters of the companies within the meaning of Art. 367h Para. 1 No. 2 PGR takes place by taking note of the legally required reporting of the companies in financial reports and ad hoc announcements.
- There is no exchange of views with the company bodies and the stakeholders of the companies within the meaning of Art. 367h Para. 1 No. 3 PGR.
- There is no cooperation with other shareholders or other relevant stakeholders of the company within the meaning of Art. 367h Para. 1 No. 5 and 6 PGR.
- If conflicts of interest arise within the meaning of Art. 367h Para. 1 No. 7 PGR, disclosure is made to those affected in accordance with the legal provisions and further action is clarified with them.
- There is no annual publication on the implementation of the participation policy within the meaning of Art. 367h Para. 2 PGR because the corresponding rights are not exercised.
- There is no publication of voting behavior within the meaning of Art. 367h Para. 2 PGR because there is no participation in votes.